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Department of State Hospitals Pre-Trial Felony Mental Health Diversion New County Partnerships Fiscal Year 2021-22

Agenda

- 1. DSH Diversion Background
 - Program Goals
 - DSH IST History and Characteristics of the Felony IST Population
 - Review of Pre-Trial Mental Health Diversion Statutory Language

2. DSH Diversion Expansion

- Overview of expansion New Counties
- How to Apply





DSH Diversion Program Background



August 31, 2021 3

DSH Diversion Program Goals

- Demonstrate effective strategies and community-based programs that can support the diversion of ISTs and/or individuals at risk of an IST finding on a felony charge from incarceration
- Reduce felony IST referrals to DSH
- Integrate individuals into long term community treatment after diversion to reverse cycle of criminalization



Department of State Hospitals IST History

- Increased IST Referrals & Pending Placements since 2013-14
- Increased number of ISTs served
 - Increased Bed Capacity since 2012-13
 - Reduced Average Lengths of Stay
 - Patient Management Unit
 - Legislative Changes
- COVID-19 Pandemic
 - System changes to prevent COVID-19 outbreaks



Clinical Characteristics: Felony ISTs

Schizophrenia, Schizoaffective Disorder, Bipolar Disorder (Napa Study)



History of Arrests (Napa Study)



47% of ISTs did not access Medi-Cal benefits in the 6-month period leading up to their arrest (Napa Study) 65.5% of ISTs are Homeless (FY 2018-19)

Initial review of ISTs pending placement to DSH in FY 2020-21 found 47% meet eligibility criteria for DSH Diversion



Overview of Diversion Law

Diversion of Individuals with Serious Mental Disorders PC 1001.35 – PC 1001.36

DSH Diversion Program WIC 4361



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Diversion of Individuals with Mental Disorders (PC 1001.35-1001.36)

- Creates <u>pre-trial</u> diversion for individuals with DSM dx charged with felony or misdemeanor
 - Excludes: antisocial personality disorder, borderline personality disorder, and pedophilia
- Mental disorder played a significant role in the commission of the charged offense
- Qualified mental health expert opines the defendant's symptoms motivating the criminal behavior would respond to mental health treatment



Diversion of Individuals with Mental Disorders (PC 1001.35-1001.36) – Cont.

- Allows the court to grant diversion if a mental health treatment program agrees to accept responsibility for the treatment of the defendant
- Diversion period is up to 2 years
- Charges are dismissed upon successful completion of the diversion program



DSH Diversion Program (WIC 4361)

- State-funded pre-trial diversion for individuals with a felony charge
- Primary diagnosis of schizophrenia, schizoaffective disorder, or bipolar disorder
- Correlation between symptoms of mental illness and/or conditions of homelessness and the instant offense
- Does not pose a significant safety risk if treated in the community



PC 1001.36 vs. WIC 4361

| Diversion of Individuals with Mental Disorders | DSH Diversion Program | |
|---|---|--|
| Penal Code 1001.35 – 1001.36 | Welfare and Institutions Code 4361 | |
| Felony and Misdemeanors, | IST on felony charges or potential to be found IST on felony charges | |
| <i>excluding</i> current charged offenses including murder, voluntary manslaughter, rape, and lewd and lascivious acts with a child under the age of 14 | <i>excluding</i> current charged offenses including murder, voluntary manslaughter, rape, and lewd and lascivious acts with a child under the age of 14 | |
| DM Diagnosis, excluding antisocial personality disorder, borderline personality disorder, and pedophilia | Schizophrenia, Schizoaffective Disorder, or Bipolar Disorder | |





DSH Diversion Expansion



August 31, 2021 12

Diversion: New County Program Details

- Program open to all counties not currently contracted with DSH
- Funding for 3-year pilot programs
- County Population = 20-30% of average referrals from FY 2017-18 through FY 2019-20
- Funding = \$142,000 per client
- County match of 10-20% required
- IST or Likely-to-be-IST on a felony charge
- Diagnosis of schizophrenia, schizoaffective disorder, bipolar disorder
- Nexus between crime and mental illness or conditions of homelessness
- Defendant does not pose unreasonable risk to community



Diversion: New County vs. Existing County Expansions

| Program Requirement | Existing County FY 18-19 Funding | New County FY 21-22 Funding | Existing County FY 21-22 Funding |
|------------------------|---|---|-------------------------------------|
| Legal Status | IST or Likely-to-be-IST | IST or Likely-to-be-IST | IST |
| Diagnosis | Schizophrenia, Schizoaffective Disorder, Bipolar Disorder | Schizophrenia, Schizoaffective Disorder, Bipolar Disorder | Any allowed under PC 1001.36 |
| County Match | Yes | Yes | No |
| Nexus | Yes | Yes | Yes |
| Reasonably Safe | Yes | Yes | Yes |



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How to Apply

1. Submit Letter of Intent to DSH by September 17, 2021

- Identify members of core collaborative stakeholder group: County Behavioral Health (or other designated county treatment organization), County Superior Court, District Attorney, and Public Defender (if applicable). Counties may include other core stakeholders to participate in program planning.
- Identify anticipated program size 20%, 30%, less than 20%
- Identify areas of Training and Technical Assistance your county needs to be successful in this program

The week following September 17, county will receive:

- Program Planning Guide and Program Plan Template
- Diversion Contract Template
- Master Data Dictionary for DSH Quarterly Data Reports
- Copies of current Department Letters
- Invitations to the DSH Diversion Academy



How to Apply cont.

- 2. Attend the virtual DSH Diversion Academy
 - Training and Technical Assistance with Dr. Deb Pinals, Dr. Sarah Desmarais, the Council of State Governments Justice Center and DSH
 - Five four-hour sessions of training and direct assistance completing the required Program Plan Template
 - Day 1: Wednesday, September 29 from 1:00-5:00 pm
 - Day 2: Monday, October 11 from 1:00-5:00 pm
 - Day 3: Wednesday, October 13 from 1:00-5:00 pm
 - Day 4: Monday, November 1 from 1:00-5:00 pm
 - Day 5: Wednesday, November 3 1:00-5:00 pm
 - Core stakeholder group members or alternates required to attend; other county stakeholders welcome to attend



How to Apply cont.

- 3. Virtual One-on-One Coaching and TA
 - Two optional 60-minute direct coaching/TA sessions with the Council of State Governments Justice Center and DSH staff available to each participating county
 - Sessions will be scheduled during the following weeks:
 - October 17 29
 - November 14 and November 29 (skips Thanksgiving holiday week)
 - Sessions focused on assisting counties with working through obstacles to completing program plan



How to Apply cont.

- 4. Submission
 - Completed Program Plan due Friday, December 17, 2021
 - Program plan must be approved and signed by core stakeholder group members identified in LOI
 - County review of Diversion Contract Template due Friday, December 17, 2021
 - Any questions, concerns or requested edits to the contract must be included in tracked changes





What Happens Next

- <u>Winter 2022</u>
 - DSH Diversion Team review and approval of submitted plan
- Winter-Spring 2022
 - Contract negotiation and execution



Thank you!

Questions? Email us at DSHDiversion@dsh.ca.gov

For more information, resources and to access the Letter of Intent, visit our webpage:

www.dsh.ca.gov/Treatment/DSH_Diversion_Program.html

